

Subject:	Strategic Risk Review 2013-14 & Risk Management Action Plans– Updated May 2013		
Date of Meeting:	Audit & Standards Committee		
Report of:	Executive Director Finance and Resources		
Contact Officer:	Name:	Jackie Algar	Tel: 29- 1273
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Ward(s) affected:	All		

FOR GENERAL RELEASE**1. SUMMARY AND POLICY CONTEXT:**

- 1.1 The Audit & Standards Committee has a role to monitor and form an opinion on the effectiveness of risk management and internal control. As part of discharging this role, it reviews the Strategic Risk Register, recently updated by the Executive Leadership Team (ELT) as attached at Appendix 1.
- 1.3 The Strategic Risk Assessment Report at Appendix 2, provides further details on the actions taken (mitigating controls) and planned actions (“solutions”) to manage specific strategic risks.

2. RECOMMENDATIONS:

- 2.1 That the Audit & Standards Committee notes the revised Strategic Risk Register (Appendix 1).
- 2.2 That the Audit & Standards Committee notes the Risk Management Action Plans or “Risk MAPs” contained in the Strategic Risk Assessment Report May 2013 (Appendix 2).

3. RELEVANT BACKGROUND INFORMATION/CHRONOLOGY OF KEY EVENTS:

- 3.1 The Strategic Risk Register details the current prioritised issues which may affect the achievement of the council’s priorities, including in relation to its work with other organisations across the city. It is reviewed and agreed by the ELT and reviewed every six months (usually May and November).
- 3.2 This Strategic Risk Register provides evidence of a risk aware and risk managed organisation. Generally, it reflects risk scenarios that will be common to comparable local authorities in this current period of change and financial challenge for the public sector and considers how these relate specifically to the city council.

3.3 Summary of the main changes made to the Strategic Risk Register:

There are nine strategic risks in total.

FOUR NEW RISKS

- SR12 – Maintaining Seafront as an asset to the city
- SR13 – Keeping Vulnerable Adults safe from harm (note: was previously SR6 ‘Safeguarding vulnerable members of our community’ covering both children and adults)
- SR14 – Pay & Allowances Modernisation
- SR15 – Keeping Children safe from harm and abuse (previously part of SR6, as above)

THREE RISKS WITH NO CHANGE TO RISK SCORE as circumstances continue to evolve

- SR2 – Financial Outlook
- SR4 – Economic Resilience
- SR8 – Becoming a more Sustainable City

TWO RISKS WITH REDUCTION IN RISK SCORE due to work undertaken

- SR10 – Information Governance Management
- SR11 – Welfare Reform

FOUR RISKS REMOVED OR REPLACED

- SR1 – Readiness for opportunities and impacts of Localism. This risk has been removed as there is active work detailed in service business plans and project plans and there is work with 2 pilot areas on neighbourhood governance; policies are in place for the community right to bid and community right to challenge and there is a procedure in place to manage neighbourhood plans.
- SR3 – Pace & Volume of Public Sector Change. The increased join up as a result of the Public Service Board transforming into the City Management Board has allowed removal of this risk, with reference in SR2 Financial Outlook now including changes to city partners’ resources for joint working.
- SR5 – City Wide Employability removed due to considerable partnership work to address issues and performance data showing an upward trend in respect of city employability. Some job employment issues are incorporated in SR4 Economic Resilience.
- SR6 – Safeguarding vulnerable members of our community. This risk has been replaced and is now separated into two separate risks for Adults (SR13) and Children (SR15) rather than one combined risk

3.4 Strategic Risk Management Action Plans for all Strategic Risks have been updated following ELT’s review and are reported as Appendix 2 to this report.

4. **COMMUNITY ENGAGEMENT AND CONSULTATION**

4.1 Consultation has taken place with the council’s Executive Leadership Team, the Corporate Management Team and representatives of all the political parties.

- 4.2 The Strategic Risk Register will be sent to the City Management Board partners for information which reflects the city wide risk management approach.

5. FINANCIAL & OTHER IMPLICATIONS:

Financial Implications:

- 5.1 The Strategic Risk Register reflects a number of risks which have potential significant financial implications for the authority either directly or indirectly. The risk owners are responsible for overseeing the effective management of the risks through the Risk Management Action Plans and for highlighting financial risks through the budget monitoring process and budget strategy development.

Finance Officer Consulted: Anne Silley Date: 23/05/13

Legal Implications:

- 5.2 This report comes before Audit & Standards Committee in order for the Committee to discharge its function of providing independent assurance of the adequacy of the council's risk management and associated control environment.

Having reviewed the latest Strategic Risk Register and the Risk Management Action Plans or "Risk MAPs" contained in the Strategic Risk Assessment Report, the Committee may, if it considers it appropriate, make recommendations to Full Council, Policy & Resources Committee, one or more officers or another relevant body in the council.

Lawyer Consulted: Oliver Dixon Date: 23/05/13

Equalities Implications:

- 5.3 There are no direct equalities implications. Equalities will be incorporated as appropriate across all Strategic Risks and Risk MAPs by the officers responsible for taking actions.

Sustainability Implications:

- 5.4 The risk management methodology includes identification and management of sustainability issues. There is a specific Strategic Risk, SR 8, which relates to Sustainability. However, Sustainability will be incorporated as appropriate across all Strategic Risks and Risk MAPs.

Crime & Disorder Implications:

- 5.5 There are no direct implications.

Risk and Opportunity Management Implications:

- 5.6 The Strategic Risk Register and the Risk Management Action Plans or "Risk MAPs" contained in the Strategic Risk Assessment Report provide evidence of

risk management in operation and relates to changes to the council's operating framework.

Public Health Implications:

5.7 There are no direct implications.

Corporate / Citywide Implications:

5.8 There are no direct implications.

SUPPORTING DOCUMENTATION

Appendices:

1. Strategic Risk Register 2013/14 – reviewed by ELT May 2013.
2. Strategic Risk Assessment Report May 2013.

Documents in Members' Rooms

None.

Background Documents

None.

